Defining medical error

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Medical errors represent a serious public health problem and pose a threat to patient safety. As health care institutions establish “error” as a clinical and research priority, the answer to perhaps the most fundamental question remains elusive: What is a medical error? To reduce medical error, accurate measurements of its incidence, based on clear and consistent definitions, are essential prerequisites for effective action. Despite a growing body of literature and research on error in medicine, few studies have defined or measured “medical error” directly. Instead, researchers have adopted surrogate measures of error that largely depend on adverse patient outcomes or injury (i.e., are outcome-dependent). A lack of standardized nomenclature and the use of multiple and overlapping definitions of medical error have hindered data synthesis, analysis, collaborative work and evaluation of the impact of changes in health care delivery. The primary objective of this review is to highlight the need for a clear, comprehensive and universally accepted definition of medical error that explicitly includes the key domains of error causation and captures the faulty processes that cause errors, irrespective of outcome.

The issue of patient safety plays a prominent role in health care. Its prominence is fueled by an expanding body of literature that shows a high incidence of error in medicine, coupled with well-publicized medical error cases that have raised public concern about the safety of modern health care delivery.

As empirical literature on medical error expands, medicine’s vulnerability to error is becoming apparent. Medical errors are a leading cause of death in North America; between 44 000 and 98 000 patients are estimated to die each year in the USA as a result of medical errors. Using conservative estimates, deaths due to medical errors exceed the number attributable to the 8th leading cause of death in North America. Medical errors are estimated to cost between US$17-billion and US$29-billion per year in lost income, lost household production, disability and additional health care costs.

To reduce the incidence of errors, health care providers must identify their causes, devise solutions and measure the success of improvement efforts. Moreover, accurate measurements of the incidence of error, based on clear and consistent definitions,
are essential prerequisites for effective action. Unfortunately and understandably, what is considered a medical error (if the term is used at all) has been influenced by differing contexts and purposes, such as research, quality control, ethics, insurance, legislation, legal action and statutory regulation. As a result, a lack of standardized nomenclature and the use of multiple and overlapping definitions of medical error has hindered data synthesis and analysis, collaboration and evaluation of the impact of changes on health care delivery. Furthermore, few published studies have measured medical error directly. Instead, researchers have adopted surrogate measures of error such as noxious episodes,iatrogenic illness,critical incidents,potentially compensable events,negligence,preventable adverse events,slips,mistakes and violations. Recognizing the limitations in defining medical error, leading medical error commentators Eric Thomas and Troyen Brennan have warned “Reader, beware” when making comparisons of error rates in the published literature.

As health care institutions establish error as a research priority, the answer to perhaps the most fundamental question remains elusive: What is a medical error? A theme that resonates throughout the current research literature is the need for a clear, comprehensive and universally accepted definition. Historically, patient safety researchers investigating the impact of error in medicine have adopted outcome-dependent definitions of medical error and its surrogate terms, and have limited their focus to patients experiencing adverse outcomes or injury as a consequence of medical care. Perhaps this tendency stems from a guiding principle of medical practice credited to Hippocrates, *primum no nocere*, which translates to “First, do no harm.” Moreover, the manner in which patient safety has been defined promotes an outcome-dependant approach to defining medical error.

**Objectives**

The objective of this paper is to define a new definition of medical error and propose a definition that fulfills those needs. As part of this proposition, we will review critically how the term medical error, leading medical error commentators Eric Thomas and Troyen Brennan have warned “Reader, beware” when making comparisons of error rates in the published literature.

**Outcome- versus process-dependent definitions**

Historically, patient safety researchers investigating the impact of error in medicine have adopted outcome-dependent definitions of medical error and its surrogate terms, and have limited their focus to patients experiencing adverse outcomes or injury as a consequence of medical care. Perhaps this tendency stems from a guiding principle of medical practice credited to Hippocrates, *primum no nocere*, which translates to “First, do no harm.” Moreover, the manner in which patient safety has been defined promotes an outcome-dependant approach to defining medical error.

**Patient safety:** the avoidance, prevention and amelioration of adverse outcomes or injuries stemming from the process of health care (US National Patient Safety Foundation, 1999). Freedom from accidental injury (Institute of Medicine, 2000). In the earliest studies on patient safety in the 1950s, medical errors were largely considered “diseases of medical progress” and dismissed as “the price we pay for modern diagnosis and therapy.” These reports tended to be limited to unusual patient reactions or those of magnitude and consequence.

In “Hazards of hospitalization,” a pioneering investigation on error in medicine, Schimmel maintained that “assessment of all untoward reactions, regardless of severity, is essential to determine their total incidence and to indicate the cumulative risk assumed by the patient exposed to the many drugs and procedures used in his care.” With this imperative, he adopted the term *noxious episode* as a surrogate term for medical error, and studied prospectively the type and frequency of such episodes in patients admitted to a university medical service.

**Noxious episode:** all untoward events, complications, and mishaps that resulted from acceptable diagnostic or therapeutic measures deliberately instituted in the hospital (Schimmel, 1964).

Reflecting the growing presence in the 1970s of third-party insurance companies in the economics of health care, The California Medical Insurance Feasibility Study adopted the term *potentially compensatable event* to reflect errors that could potentially lead to malpractice claims.

**Potentially compensatable event:** an event due to medical management that resulted in disability, which led to or prolonged a hospitalization (The California Medical Insurance Feasibility Study, 1977).

In the 1990s, the publication of the 3 most extensive investigations on medical error—the Harvard Medical Practice Study, the Quality in Australian Health Study, and the Utah and Colorado Medical Practice Study—gave prominence to the term adverse event.

**Adverse event:** unintended injury to patients caused by medical management (rather than the underlying condition of the patient) that results in measurable disability, prolonged hospitalization or both (the Harvard Medical Practice Study, 1991, and the Utah and Colorado Medical Practice Study, 1999). Unintended injury or complication that results in disability, death, or prolonged hospital stay and is caused (including acts of omission and acts of commission) by health care management rather than the patients disease (Quality in Australian Health Study, 1995).

Although adverse events typically result from medical intervention, not all adverse patient outcomes are the result of error. Reflecting this fact, many investigators suggest that only preventable adverse events be attributed to medical error. Patient-safety experts have considered an adverse event to be preventable when...

...there is a failure to follow accepted practice (the current level of expected performance for the average practitioner or system that manages the condition in question) at an individual or system level.
(Quality in Australian Health Study, 1995); ... it is widely established that a high incidence of this type of complication reflects low standards of care or technical expertise (Lucian Leape, 1994).

The relationship between negligence and preventable adverse events was characterized in the publications of the Harvard 1,2 and Utah and Colorado Medical Practice studies. Reduction of malpractice claims and litigation against health care providers were established as primary objectives in both of these investigations. Negligent adverse events represent a subset of preventable adverse events that satisfy the legal criteria used in determining negligence.

**Negligence:** failure to meet the standard of care reasonably expected of an average physician qualified to take care of the patient in question (Brennan et al, 1991). Care that fell below the standard expected of physicians in their community (Thomas et al, 1995).

**Negligent adverse event:** injury caused by substandard medical management (Leape, 1991).

**Adverse patient outcomes** represent a limited subset of medical errors. The vast majority of errors do not result in injury to patients because the error was identified in time and mitigated; because the patient was resilient; or because of simple good luck. James Reason’s “Swiss cheese” model of error causation illustrates how this notion applies to health care (Fig. 1). According to Reason, most complex systems and work environments (such as hospitals) have several layers of defence that offer protection against the adverse consequences of error (signified by several slices of Swiss cheese). In spite of such safeguards, several holes or flaws exist within each individual layer of defence (the holes in each individual slice). Injury to patients occurs only when circumstances arise that cause the flaws in each individual layer of protection (or holes in the cheese slices) to align in a way that allows an error to penetrate their defences and reach the patient.

Outcome-dependant definitions of medical error have provided valuable insight into the costs, morbidity and magnitude of harm resulting from such events. Nonetheless, quality improvement initiatives require understanding of the processes that lead to such errors. Building a safer health care system will depend on our success at designing processes of care that ensure patients are protected from the threat of injury. Therefore, a definition of medical error should capture process or system failures that cause errors, irrespective of outcome (a process-dependant approach). Ideally, process-dependant definitions of medical error should capture the full spectrum of medical errors, namely, errors that result in adverse patient outcomes as well as those that expose patients to risk but do not result in injury or harm. Errors that do not result in injury are often referred to as near misses, close calls, potential adverse events or warning events.

**Near miss:** any event that could have had an adverse patient consequence but did not, and was indistinguishable from any event that could have had an adverse patient consequence but did not, and was indistinguishable from a full-fledged adverse event in all but outcome (Barach and Small, 2000)

Given that many of the factors that lead to both near misses and adverse events are identical, identification and analysis of the processes that potentially can (near misses) and actually do (adverse events) lead to adverse patient outcomes is critical. Examples of process-dependant definitions of medical error in the published literature include

**Medical error:** the failure of a planned action to be completed as intended (an error of execution) or the use of a wrong plan to achieve an aim (an error of planning) (Reason, 1990). An unintended act (either of omission or commission) or one that does not achieve its intended outcome (Leape, 1994). Deviations from the process of care, which may or may not cause harm to the patient (Reason, 2001).

Reason’s definition distinguishes between errors of execution and errors in planning, acknowledging that mental/judgmental and physical/technical failures both contribute to errors. However, his definition neglects errors of omission: What if there was no plan, or no action? Leape’s definition recognizes that both actions (acts of commission) and inaction (acts of omission) contribute to medical errors, but omits intended acts that are based on wrong plans.

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**FIG. 1.** James Reason’s “Swiss cheese” model of error causation. In complex organizations (hospitals, clinics, biomedical institutes), medical errors cause adverse patient outcomes only when they penetrate through the holes or flaws in the multiple layers of defence (slices of Swiss cheese).
except for when the actions based on those plans lead to unintended outcomes.

Reason’s and Leape’s definitions have further limitations. Although action plans may not “be completed as intended” or “achieve their intended outcomes,” errors do not necessarily account for all of those failures. Often, circumstances beyond the physician’s control influence patient outcomes. For example, consider a patient with no known history of allergies who experiences an allergic drug reaction upon starting a new medication. The outcome is unintended, yet not convincingly attributable to medical error. To suggest that all unintended outcomes can be attributed to medical error is not justifiable.

Reason’s definition\(^{14}\) is appropriately both process-dependant and outcome-independent. Unfortunately, it is in our opinion too general, by simply referring to “the process of care” rather than stating those processes explicitly.

**How do other safety-critical industries define error?**

Medicine is beginning to benefit from interdisciplinary and collaborative efforts between specialists in health care and other high-reliability and safety-critical industries with a long history of recording and analyzing errors.\(^{11,14,36}\) Such experiences reveal that the distinction between process and outcome-dependant approaches to defining error is not unique to medicine.

The aviation industry uses terms like incidents and accidents as substitutes for medicine’s near misses and adverse events, respectively.

**Incident:** An occurrence other than an accident associated with the operation of an aircraft, which affects or could affect the safety of operations (Federal Aviation Regulations, 2001).\(^{37}\)

**Accident:** An occurrence associated with the operation of an aircraft…in which any person suffers death or serious injury or in which the aircraft receives substantial damage (Federal Aviation Regulations, 2001).\(^{37}\)

The nuclear power industry has adopted a more inclusive (process- and outcome-dependant) definition of accident that accounts for both the actual and potential consequences of the event.

**Accident:** Any unintended event, including operating error, equipment failures or other mishaps, the consequences or potential consequences of which are not negligible from the point of view of protection or safety (the International Atomic Energy Agency, 2000).\(^{28}\)

### Should the term error be used at all, in medicine?

With growing public concern over the impact of medical errors on patient populations, we must not ignore the profound psychological effect that errors can have on the health care professionals who make them.\(^{11,39}\) The term error carries with it a stigma that can evoke feelings of guilt, anger, inadequacy and depression.\(^{14}\) The threat of legal action compounds such feelings. Some authors have maintained that the term error is excessively negative and antagonistic, and perpetuates a culture of blame.\(^{23,24,33}\)

A physician or nurse whose confidence and morale has been shattered as a result of an error may work less effectively and efficiently, and may even consider abandoning a career in medicine.\(^{14}\) This raises an important question: Should the term error be used at all?

We acknowledge that it may be prudent to limit the use of the term error when seeking causes in specific, identifiable cases or patient populations, especially if the documentation may become public record. However, apprehension over the use of the term error should not lead to its complete removal from work to improve patient safety and redesign health care systems. Adverse patient outcomes do occur because of errors; to delete the term error from discussion of such outcomes obscures the goal of preventing and managing its causes and effects. Human-factor scientists have acknowledged the importance of recognizing error (with appropriate, timely feedback) as a powerful tool for learning, shaping behaviour, and achieving goals.\(^{11,14,40,41}\)

Reason\(^{31}\) has pointed out that commonly, errors occur from the convergence of multiple and complex contributing factors.\(^{16}\) Public and legislative intolerance for medical errors illustrate a lack of understanding of Reason’s observations of complex human systems. The human factor will always be a problem, and acknowledging such factors does permit improvement strategies to be undertaken that promote both system changes and education. However, blaming or punishing individuals for errors related to underlying systemic causes will not change or address those causes, nor prevent repetition of the errors.

For this reason, patient-safety experts are focusing not on the perpetrators of individual errors or getting rid of “bad apples,” but on building safer health care systems to reduce the probability of errors and mitigate their effects on patients, employees and society when they do occur.\(^{11,35,36,42}\) Errors represent opportunities for education and constructive changes in health care delivery. Ultimately, we may regard them as “beacons of safety.”\(^{24}\)

### An outcome- and process-dependant definition of medical error

We propose the following definition:

**Medical error:** An act of omission or commission in planning or execution that contributes or could contribute to an unintended result.

This definition of medical error includes explicitly the key domains of error causation (omission and commission, planning and execution), and captures faulty processes that can and
do lead to errors, whether adverse outcomes occur or not. The inclusivity and explicitness of the definition should make it useful for research into the etiology of errors from the perspective of the provider: given this definition, a health care worker has a clear roadmap with which to designate a process as error-prone or error-laden. By including potential adverse outcomes, the definition includes the “silent majority” of errors that do not cause harm but reflect faulty processes. At the same time, it ignores trivial mistakes (for example, taking the wrong route to visit a patient) that have no potential for adverse outcome.

To stimulate collaborative efforts and facilitate data collection, synthesis, and analysis, we have defined medical error explicitly and directly, avoiding the use of surrogate terms. We believe that this will help focus error measurement for research, quality control and legislative purposes, but allow the use of surrogate terms for regulatory, legal and insurance purposes.

Medical, legal and governmental institutions must work collaboratively to break down the culture of blame while retaining methods for accountability. When this challenge has been met, health care institutions will not be constrained from measuring the most useful target for process improvement: all errors, whether or not they lead to adverse outcomes. When that happens, health care can join the ranks of similar high-reliability and safety-conscious industries such as aviation.

Conclusions

Medical errors represent an important public health problem and pose a serious threat to patient safety. The growing awareness of the frequency, causes and consequences of error in medicine reinforces an imperative to improve our understanding of the problem and to devise workable solutions and prevention strategies. Variations in nomenclature without a universally accepted definition of medical error hinder data collection and collaborative work to improve health care systems. If health care providers and researchers are to improve patient safety, we must all speak the same language. The definition of medical error we have proposed explicitly addresses the key domains of error causation and includes process faults that have the potential for, but do not necessarily lead to, adverse patient outcomes.

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References


