Single versus sequential fine-needle aspiration biopsy in the management of thyroid nodular disease

Julio C. Furlan, MD, PhD,*† Yvan C. Bedard, MD;‡ Irving B. Rosen, MD†

Fine-needle aspiration biopsy (FNAB) is considered a safe, reliable and cost-effective means of selecting thyroid nodules with risk for malignancy. However, there are limitations of this method including false positive/negative and “nondiagnostic” results that may be reduced by repeating FNAB. **Objective:** To evaluate accuracy, sensitivity, specificity and costs of sequential FNAB in the management of thyroid nodular disease. **Methods:** Charts of all patients who underwent thyroidectomy at a university teaching hospital in Toronto from 1998 to 2000 were reviewed. FNAB reports of “suspicious for malignancy,” “follicular lesion” and “cellular atypia” were considered to be positive. Data were analyzed with $\chi^2$ and z tests. **Results:** There were 268 patients (225 women and 43 men; age range 18–89 yr; mean age 47 yr) who underwent a total of 449 FNABs (mean 1.7 FNABs/patient) within a year before thyroidectomy. Accuracy (63.8%), sensitivity (73.8%) and specificity (69%) were determined for single FNABs. Sequential FNAB increased the accuracy of method by 22.6%, sensitivity by 13.8% and specificity by 6.2%, with reduction of false positive/negative results by 14.2% and “nondiagnostic” results by 100%. However, the costs of sequential cytology per patient were 70% higher than single FNAB. **Conclusions:** Multiple FNABs are unpleasant for patients, but useful in the selection for treatment of patients with thyroid nodular diseases. Although sequential FNAB increases the costs of method, the improvement of precision of FNAB may imply a reduction in overall health-care costs.

La biopsie par aspiration à l’aiguille fine (BAAF) est un moyen réputé sûr, fiable et rentable de sélection de nodules thyroïdiens présentant un risque de malignité. Toutefois, il y a des limitations à cette méthode, notamment des résultats faux positifs ou faux négatifs et « non diagnostiques », qui peuvent être réduites par la répétition de la BAAF. **Objectif :** Évaluer l’exactitude, la sensibilité, la spécificité et les coûts de BAAF séquentielles dans la gestion des maladies à nodules thyroïdiens. **Méthodes :** On a passé en revue le dossier de tous les patients ayant subi une thyroidectomie à un hôpital universitaire de Toronto entre 1998 et 2000. Les rapports de BAAF présentant « une suspicion de malignité », « des lésions folliculaires » et « une atypie cellulaire » ont été considérés comme positifs. Les données ont été analysées à l’aide de tests $\chi^2$ et z. **Résultats :** Au total, 268 patients (225 femmes et 43 hommes de 18 à 89 ans; moyenne d’âge de 47 ans) ont subi 449 BAAF (moyenne de 1,7 BAAF par patient) un an avant une thyroidectomie. On a déterminé l’exactitude (63,8 %), la sensibilité (73,8 %) et la spécificité (69 %) des BAAF uniques. Les BAAF séquentielles ont amélioré l’exactitude de la méthode de 22,6 %, la sensibilité de 13,8 % et la spécificité de 6,2 %, tout en faisant diminuer les résultats faux positifs ou faux négatifs de 14,2 % et les résultats « non diagnostiques » de 100 %. Toutefois, les coûts de cytologies séquentielles par patient ont été 70 % plus élevés que pour une seule BAAF. **Conclusions :** Les BAAF multiples sont désagréables pour les patients, mais utiles dans le choix du traitement des patients présentant des nodules thyroïdiens. Même si des BAAF séquentielles augmentent les coûts de la méthode, l’amélioration de la précision des BAAF peut amener une diminution des coûts globaux des soins de santé.

From the *Department of Surgery, Mount Sinai Hospital, the †Division of Neurosurgery, Department of Surgery, Toronto Western Hospital, and the ‡Department of Pathology and Laboratory Medicine, Mount Sinai Hospital, University of Toronto, Toronto, Ont.

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Correspondence to: Dr. Julio C. Furlan, Toronto Western Hospital, 399 Bathurst St., Rm. 12-407, Toronto ON M5T 2S8; fax 416 603-5745; jfurlan@uhnres.utoronto.ca
Martin and Ellis¹ pioneered the puncture biopsy and aspiration of a thyroid nodule in 1930. Fine-needle aspiration biopsy (FNAB) of the thyroid was first reported in 1948. Since the 1970s, FNAB has been developed mainly by Scandinavian researchers at several European centres.² Over the past 2 decades, FNAB has become widely acceptable as a diagnostic method for nodular thyroid disease.² Currently, FNAB is considered a simple, safe, reliable and cost-effective means of screening thyroid nodules with risk for malignancy.³,⁴

Recent studies have demonstrated advantages of FNAB over open surgical and core-needle biopsy techniques. FNAB is not only more economical and has less morbidity than open surgical biopsy, but is also simpler, safer and better tolerated by patients than core needle or open surgical biopsies.⁵–⁷ FNAB nevertheless has limitations in the management of thyroid nodules, including results that are falsely positive/negative or “nondiagnostic.”³,⁸ Repeating FNAB may increase its accuracy when non-diagnostic results are obtained.⁹–¹¹

This study was undertaken to evaluate and compare the accuracy, sensitivity, specificity and costs of sequential versus single FNAB in the management of thyroid nodular disease.

**Methods**

This retrospective study reviewed 268 consecutive patients who underwent thyroidectomy for nodular thyroid disease after undergoing FNAB for cytological examination. All patients were evaluated and underwent surgery from January 1998 through December 2000 at a teaching hospital in Toronto. Thyroid surgery was indicated when FNAB results showed cancer or were suspicious for malignancy. Furthermore, individuals with a benign diagnosis or a nondiagnostic FNAB result underwent thyroidectomy if they had rapid nodule growth, local compression symptoms or an associated risk factor based on gender, age and exposure to ionizing radiation.

All fine-needle aspirations were performed in outpatient clinics at the Mount Sinai Hospital or other centres by a trained surgeon (I.B.R.), cytologist or radiologist using sterile technique and no anesthesia. The standard technique includes the use of a 21- or 23-gauge hypodermic needle attached to a 20-mL disposable plastic syringe. Each nodule was aspirated at least twice. The aspirated material was smeared onto at least 3 glass slides per nodule, fixed immediately with 95% ethanol and then stained by means of the Papanicolaou method.

FNAB specimens were considered adequate if there was a minimum of 6 separate groups of at least 10 well-preserved follicular epithelial cells.¹² Specimens with either no diagnostic cellular material or insufficient cells for a diagnosis were classified as nondiagnostic. Biopsy reports of “suspicious for malignancy,” “follicular lesion” and “cellular atypia” were considered to be positive, since they usually resulted in thyroid surgery.

To define false and true FNAB results in each case, cytological reports were compared with the corresponding histopathological examinations. An FNAB result diagnosed as benign that upon histopathologic examination revealed a carcinoma was considered a false-negative case. Conversely, a cytologic diagnosis of malignancy, revealed after surgical resection and histopathological examination to be a benign lesion, was defined as a false-positive.¹³

Sequential FNAB was defined as at least 2 cytological aspirations from the same patient on different dates during a follow-up period of up to 12 months, collected in the management of a thyroid nodular disease. FNAB was repeated during clinical follow-up for nodular thyroid disease whenever the previous FNAB was nondiagnostic and there was no other indication for thyroid surgery.

In many cases of sequential FNAB, aspirations were carried out by different physicians on different occasions. All cases were divided into 2 groups: patients who underwent 2 or more FNABs (the sequential-FNAB group, n = 110), and those who had a single FNAB (n = 268). Note that the latter group includes the first cytological evaluation from individuals who later underwent multiple (sequential) FNABs.

The accuracy, sensitivity and specificity of FNAB were estimated in both groups. Within the sequential-FNAB group, the result that most contributed to preoperative decision-making was chosen for analysis of its accuracy, sensitivity and specificity. Rates of false and true results, along with nondiagnostic reports, were also estimated in both groups.

Accuracy of FNAB was defined as the ratio between the number of true results and number of patients undergoing aspiration. Sensitivity was defined as the ratio between the number of patients with a positive cytology result and cancer at histology (true-positive results), and the number of patients with carcinoma at definitive histopathological report (true-positive plus false-negative results). Specificity was defined as the ratio between the number of patients with a negative cytological diagnosis as well as no tumour at histology (true-negative results) and the number of patients with no carcinoma at histology (true-negative plus false-positive results).

Accuracy, sensitivity and specificity of single and sequential FNAB were also calculated after exclusion of all incidental microcarcinomas (MC), defined as primary cancer of 10 mm or smaller (World Health Organization), that were found after thyroidectomy and study of the entire gland. These cases were further divided into subgroups with uninodeilar and multinodular disease, according to ultrasonographic evaluation of the thyroid.

Data were analyzed with χ² and t tests (SigmaStat for Windows Ver-
Significance was assumed if $p \leq 0.05$.

**Results**

The patients, 225 women and 43 men, were 18–89 years old (mean 47 yr). In this series, benign thyroid disease (47.8%) was about as frequent as thyroid cancer (52.2%; $p = 0.351$). The most common benign nodular disease was nodular hyperplasia (72 of 128 cases), followed by adenomas (45) and miscellaneous (11 cases). Thyroid malignancies were dominated by papillary thyroid carcinoma (118 of 140 cases) and its oxyphilic variant (18), excepting 3 cases of Hürthle cell and 1 case of follicular thyroid carcinoma. No other thyroid cancer was observed. Mean size of primary thyroid cancer was 25.4 mm (range 2–70 mm). The incidence of thyroid MC was 12.3% in our series (33 of 268 cases).

A total of 449 FNABs were taken from the 268 patients during a 12-month period before thyroidectomy, for a mean of 1.7 FNABs per patient (range 1–6). Considering that 29.5% of the patients in this series had ultrasound-guided FNAB, and using figures from the OHIP (Ontario Health Insurance Plan) fee schedule of the Ministry of Health and Long-Term Care, we calculated that sequential FNAB increased the cost of the method by 70%, from $84.24 to $143.20 (Table 1).

Sequential FNAB was carried out in 110 of 268 patients (41%). Ultrasound guidance was more frequently indicated in cases of microscopic (≤10 mm, 44.2%) than gross (>10 mm) thyroid nodules ($p = 0.034$).

False results (both false-negative and false-positive) in the single-FNAB group (25.4%) were reduced by 14.2% with sequential FNAB (21.8%), although this was not statistically significant ($p = 0.543$). However, the difference in rates of non-diagnostic results (10.8% of single-FNAB cases v. 0 sequential) was significant at $p < 0.001$. In our centre, the accuracy of single FNAB was estimated to be 63.8%; sensitivity, 73.8%; and specificity, 69%. Sequential FNAB increased accuracy by 22.6% ($p = 0.009$) and sensitivity by 13.8% ($p = 0.046$) over that of the single-FNAB group. As well, the specificity of the method in the sequential group was 6.2% higher than in the single-FNAB group, although this difference was not significant ($p = 0.48$; Fig. 1, graph A).

After the 18 cases of incidental MC were excluded, the 250 remaining cases had a similar distribution of malignant (48.8%) and benign disease (51.2%; $p = 0.655$). In this new series, 250 patients underwent a single FNAB, and 150 individuals, sequential FNAB. The mean number of aspirations per patient was 1.7 (range 1–6) in the sequential-FNAB group. There were no significant differences between the single and sequential groups for the accuracy ($p = 0.898$), sensitivity ($p = 0.965$) and specificity ($p = 0.594$) of FNABs after exclusion of cases of incidental MC (Fig. 1, graph B). Multinodular disease (175 of 250 patients) was more frequent than uninnodular disease (75 cases) in this new series. Mean number of aspirations in the subgroup with multinodular disease (1.7 aspirations/patient, range 1–6) was similar to that of uninnodular disease (1.6 per patient, same range).

There was a higher incidence of malignant disease in the group with uninnodular disease (58.7% v. 44.6%), with the difference approaching statistical significance ($p = 0.057$; Fig. 2A). Although the increases in accuracy (by 6.5%; $p = 0.439$) and sensitivity (by 3.8%; $p = 0.648$) from the single-FNAB group to the sequential were not significant, in the group with a single nodule proven by ultrasound there was a significant increase in specificity (by 20.7%; $p = 0.036$). The estimated accuracy ($p = 0.975$), sensitivity ($p = 0.94$) and specificity ($p = 0.991$) among single-FNAB patients with multinodular thyroid disease were comparable to patients who had sequential FNAB (Fig. 2B).

**Table 1**

<table>
<thead>
<tr>
<th>Diagnostic test</th>
<th>Cost, Can$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Thyroid scintigraphy</td>
<td>98.65</td>
</tr>
<tr>
<td>With $^{99m}$Tc or $^{131}$I isotope</td>
<td>98.65</td>
</tr>
<tr>
<td>With $^{131}$I (iodine) isotope</td>
<td>138.20</td>
</tr>
<tr>
<td>Ultrasound of thyroid gland</td>
<td>77.05</td>
</tr>
<tr>
<td>Fine-needle aspiration biopsy</td>
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</tr>
<tr>
<td>Standard</td>
<td>69.50</td>
</tr>
<tr>
<td>Ultrasound-guided</td>
<td>119.45</td>
</tr>
<tr>
<td>Single, with shared costs*</td>
<td>84.24</td>
</tr>
<tr>
<td>Sequential, shared costs*</td>
<td>143.20</td>
</tr>
</tbody>
</table>

$^{99m}$Tc = technetium; $^{131}$I = iodine

*Mean cost per test after the costs of ultrasound guidance are shared out: in our series, 29.5% of the 449 FNABs were ultrasound-guided.

**FIG. 1.** Comparisons of means from groups of single versus sequential fine-needle aspiration biopsies (FNABs) for accuracy, sensitivity and specificity. White columns are single FNABs; dark columns, sequential FNABs. Asterisks indicate statistically significant differences. Graph A shows the entire series, and graph B, the new series after exclusion of cases of incidental microcarcinoma.
Discussion

Nodular thyroid disease is common and frequently has multinodular benign pathology, so that clinicians have been encouraged to use FNAB in preoperative selection of thyroid nodules. Economical criteria and test reliability have supported the frequent use of FNAB as the initial investigation for nodular thyroid disease over other diagnostic methods such as thyroid scintigraphy and ultrasound. Routine use of FNAB has decreased the need for thyroidectomy by at least 25% and the cost of care by 25%. These are impressive outcomes for cost-effectiveness, even though such studies to date have addressed single FNAB only.

In our centre, the estimated accuracy, sensitivity and specificity of single FNAB were 63.8%, 73.8% and 69%, respectively. When sequential FNAB was used, the accuracy rose to 78.2%, sensitivity to 84% and specificity to 73.3%. These increases represent a significant improvement in the precision of FNAB, which fell in statistical significance after exclusion of all cases of incidental MC. This suggests that multiple FNAB may have increased odds of aspirating small nodules, reinforcing the importance of sequential FNAB. In addition, our results showed that sequential FNAB increases the specificity of the method in patients with uninnodular thyroid disease, as these individuals have a higher incidence of cancer.

Our results are comparable to previous studies that reported accuracies for FNAB of 53%–98%, sensitivities of 53%–98% and specificities of 45%–99%. These parameters can vary considerably among different studies depending on the methods of data analysis. At least 5 major methodological issues have been identified that affect estimations of the precision of FNAB: exclusion criteria; features of the population and sample analyzed; the necessity of confirmatory histology for diagnosis; the rate of nondiagnostic results; and discrepancies between cytology and histology.

Exclusion criteria in different studies may differ considerably, causing confusion in the comparison of outcomes. For instance, some authors considered MC of the thyroid gland to be an incidental finding at surgery and therefore a negative result for the purpose of evaluating FNAB efficacy. Papillary and follicular thyroid MC occurred in 12.3% of our cases; since they may behave similarly to gross thyroid cancer depending on the histological type of the lesion.

Previous studies have shown that the sensitivity of FNAB may differ significantly in the diagnosis of thyroid cancer depending on the histological type of the lesion.

The necessity of confirmatory histology for diagnosis results in more selective samples that interfere in estimations of the precision of FNAB. Malignancy has been reported in 1.5%–37% of individuals with thyroid nodules, based on clinical and cytological assessments, but confirmed in 8.5%–67% of patients treated surgically. Selective surgery based on FNAB has led, in several studies, to an increase in the incidence of cancer in resected specimens, from 9.6% to 40%, 31% to 50%, and 10% to 69%. The elevated frequency of thyroid cancer in our series (52.2%) indicates that patients in this hospital-based surgical unit were highly selected for thyroidectomy, which is comparable to previous investigations.

Nondiagnostic results reduce the
estimation of FNAB precision in most studies. Nondiagnostic FNABs, which included inadequate smears and “inconclusive” or “insufficient” test results, occurred in 10.8% of our single-FNAB group, whereas no “insufficient” results were returned in our sequential FNAB series. Nondiagnostic FNABs have been reported at incidences of 10%–30% of smears. Although rates of samples inadequate for FNAB of 1.6%–32.4% have been documented, Leenhardt and associates have suggested that the proportion of unsatisfactory specimens should not exceed 5%.

Use of ultrasound-guided FNAB may improve the diagnostic yield in selected patients with nondiagnostic FNAB and reduce the number of false results. Leenhardt and associates found that adequacy of cytological material significantly increased with nodule size, outlining the limits of ultrasound-guided FNAB in small nodules. Alexander and co-authors have recommended that repeat aspiration should be the standard approach after nondiagnostic ultrasound-guided FNAB, since there remains a significant risk of initial nondiagnostic cytology for malignancy. Despite its limitations, ultrasound-guided FNAB has shown advantages over standard FNAB in selected cases. Previous investigations have reported widely differing frequencies of use of ultrasound-guided FNAB, from 12% to 100%, depending on the experience and routines of health care services. At our centre, 29.5% of our patients had an ultrasound-guided aspiration, which was used significantly more often in the management of microscopic nodules (44.2%) than gross nodules (26.7%).

In addition, cytological smears may show some discrepancies when compared with postoperative histology, even though FNAB has been considered the most effective screening test for investigating thyroid nodules. Such inconsistencies were mostly attributed to cytological misinterpretation due to cytodiagnostic error, sampling error or technically suboptimal material.

There is great concern about false diagnoses with FNAB, which represent the most clinically significant discrepancy between cytology and histology, since they may interfere in the management of nodular thyroid diseases and result in unnecessary surgery (in cases of false-positive results) or delayed resection (false negatives). Gharib reviewed the literature and found false-negative rates from 1% to over 11%, and false-positive rates from 0 to 10%. Caruso and colleagues noted that rates of false-negative diagnoses in FNAB have been reported from 1.5% to 15%, and rates of false-positive cytology from 0% to 12%. Sidawy and coworkers reported a false-negative rate of 16% and a false-positive rate of 6%. In our centre, false-negative and false-positive results occurred in 25.4% of single-FNAB cases and 21.8% of sequential, comparable to rates in the literature.

Because sequential FNAB often reduces the discordance between cytology and histology, it has also been recommended as routine practice after nondiagnostic FNAB results by several authors. Our results support that suggestion, since there was an increase in the accuracy and sensitivity of FNAB and a reduction in nondiagnostic cytology with repetition of the aspirations.

Although the sequential FNAB was more efficient than a single cytological biopsy, sequential FNAB increased the cost of the diagnosis by 70% compared with single FNAB. In our centre, the cost of a sequential FNAB associated with ultrasound guidance was similar to the cost of scintigraphy with I123, but 45% more expensive than scintigraphy with Tc99m or I131 and 86% more expensive than thyroid ultrasound. FNAB still offers better accuracy, sensitivity and specificity in detecting malignancy than less invasive approaches such as ultrasound and scintigraphy. Therefore, health care planners ought to consider a rate of 1.7 to quantify the total cost of the sequential FNAB method for the management of thyroid nodular disease.

**Conclusions**

Our results confirmed that fine-needle aspiration cytology is an important and highly reliable preoperative screening for nodular thyroid disease. Sequential FNAB was particularly valuable for elucidation of “insufficient” results, increasing significantly the accuracy and sensitivity of the method. Although sequential aspirations increased the cost of FNAB by 70%, its unit cost is considerably lower, and the precision of the method is significantly improved, in that its use can result in better selection of patients for thyroidectomy and a likely overall reduction of health care costs. Nonetheless, prospective randomized studies of cost-effectiveness are necessary to validate this suggestion.

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**Competing interests:** None declared.

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22. Single versus sequential FNAB


In February 1998 CMAJ and Health Canada published 10 clinical practice guidelines for the care and treatment of breast cancer, along with a lay version designed to help patients understand more about this disease and the recommended treatments. These guidelines are currently being revised and updated, and the series is being extended to cover new topics. The complete text of the new and updated guidelines is available at eCMAJ:

www.cmaj.ca/cgi/content/full/158/3/DC1

REVISED:
Guideline 3: Mastectomy or lumpectomy? The choice of operation for clinical stages I and II breast cancer [July 23, 2002]
Guideline 6: Breast radiotherapy after breast-conserving surgery [Feb. 18, 2003]
Guideline 7: Adjuvant systemic therapy for women with node-negative breast cancer [Jan. 23, 2001]
Guideline 8: Adjuvant systemic therapy for women with node-positive breast cancer [Mar. 6, 2001]

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Guideline 11: Lymphedema [Jan. 23, 2001]
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